



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/774,397	01/31/2001	Theresa A. Hadlock	00786-446001

JANIS K. FRASER
Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804

CONFIRMATION NO. 3080

FORMALITIES LETTER



OC000000005850689

Date Mailed: 03/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

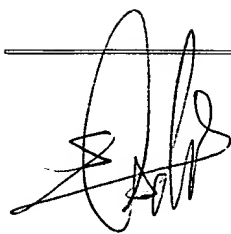
- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$378.
 - \$243 for 27 total claims over 20.
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 798.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

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355.00 OP
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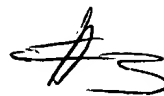
A handwritten signature in black ink, appearing to be 'E. J. H.', is written over a horizontal line.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Theresa A. Hadlock et al.

Art Unit : 3731

Serial No. : 09/774,397

Examiner : Unknown

Filed : January 31, 2001

Title : NEURAL REGENERATION CONDUIT

BOX MISSING PARTS

Commissioner for Patents

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed March 12, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- ☒ Check for \$798 in payment of basic filing fee of \$355, additional/multiple dependent claims fees of \$378, and \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63; and
- ☒ Transmittal of Formal Drawings, 1 pg.; 3 Sheets of formal drawings.

It is understood that this perfects the application and no additional papers or filing fees are required.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

JUNE 5, 2001
Date of Deposit

KATHLEEN PHILPOT
Signature

KATHLEEN PHILPOT
Typed or Printed Name of Person Signing Certificate

Applicant : Theresa A. Hadneck et al.
Serial No. : 09/774,397
Filed : January 31, 2001
Page : 2

Attorney's Docket No.: 00786-446001

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

June 5, 2001

Janis K. Fraser, Ph.D., J.D.
Reg. No. 34,819

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Boston, MA 02110-2804
Telephone: (617) 542-5070
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Theresa A. Hadlock et al. Art Unit : 3731
Serial No. : 09/774,397 Examiner : Unknown
Filed : January 31, 2001
Title : NEURAL REGENERATION CONDUIT

Box Missing Part

Commissioner for Patents
Washington, D.C. 20231

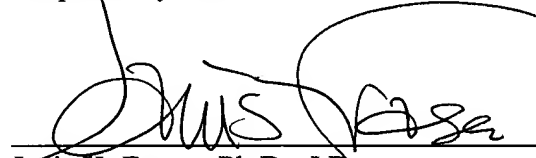
TRANSMITTAL OF FORMAL DRAWINGS

In response to the Notice to File Missing Part mailed March 12, 2001, please substitute the enclosed 3 sheets of formal drawings for the corresponding drawings presently in the application.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: June 5, 2001

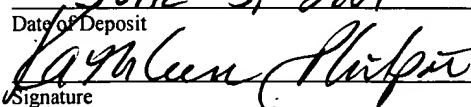

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JUNE 5, 2001
Date of Deposit

Signature
KATHLEEN PHILPOTT
Typed or Printed Name of Person Signing Certificate